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PTO/SB/01 (3-97)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing

Attorney Docket Number	6296.170
First Named Inventor	S. Cherukuri
COMPLETE IF KNOWN	
Application Number	09/389,339
Filing Date	September 2, 1999
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

MEDICATED CHEWING GUM DELIVERY SYSTEM FOR NICOTINE

(Title of the invention)

the specification of which

☐ is attached hereto
OR

☒ was filed on (MM/DD/YYYY) 09/02/99 as United States Application Number or PCT International

Application Number 09/389,339 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
980991	Ireland	11/27/98	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden, Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
09/146,696		09/03/98	

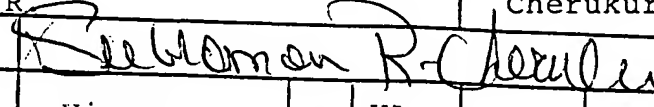
☐ Additional U.S. or PCT International application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:
☐ Customer Number OR ☒ Registered practitioner(s) name/registration number listed below

Name	Registration Number	Name	Registration Number
Thomas P. Liniak	33,415	Guillermo E. Baeza	35,056
Joseph W. Berenato, III	30,546	Matthew Stavish	36,286
Joseph A. Rhoa	37,515	Matthew F. Johnston	41,096

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.
Direct all correspondence to: ☐ Customer Number or Bar Code Label OR ☒ Correspondence address below

Name	Joseph W. Berenato, III					
Address	Liniak, Berenato, Longacre & White					
Address	6550 Rock Spring Drive, Suite 240					
City	Bethesda,		State	MD	ZIP	20817
Country	USA		Telephone	(301) 896-0600		Fax (301) 896-0607

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Surname			
Subraman R		Cherukuri			
Inventor's Signature				Date 11-2-98	
Residence: City	Vienna	State	VA	Country	US
Post Office Address 10241 Britten Ford Drive					
Post Office Address					
City	Vienna	State	VA	ZIP	22182
		Country	US		

☒ Additional Inventors are being named on the 3 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

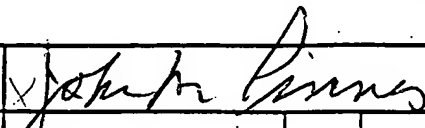
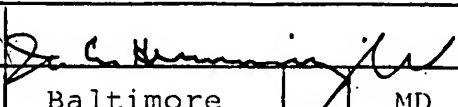

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 1 of 3

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
John M.				Pinney			
Inventor's Signature						Date	9/22/99
Residence: City	Bethesda	State	MD	Country	US	Citizenship	US
Post Office Address	7701 Woodmont Avenue						
Post Office Address							
City	Bethesda	State	MD	ZIP	20814	Country	US
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Jack E.				Henningfield			
Inventor's Signature						Date	9/21/99
Residence: City	Baltimore	State	MD	Country	US	Citizenship	US
Post Office Address	5504 Roland Avenue						
Post Office Address							
City	Baltimore	State	MD	ZIP	21210-1427	Country	US
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Aradhana				Sasan			
Inventor's Signature						Date	11/1/99
Residence: City	Springfield	State	VA	Country	US	Citizenship	US
Post Office Address	6211 Abilen Street						
Post Office Address							
City	Springfield	State	VA	ZIP	22150	Country	US

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 2 of 3

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Edward J.				Cone			
Inventor's Signature	<i>X Edward J. Cone</i>					Date	10/19/99
Residence: City	Severna Park	State	MD	Country	US	Citizenship	US
Post Office Address	441 Fairtree Drive						
Post Office Address							
City	Severna Park	State	MD	ZIP	21146	Country	US
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Saul				Shiffman			
Inventor's Signature	<i>X Saul Shiffman</i>					Date	9/21/99
Residence: City	Pittsburgh	State	PA	Country	US	Citizenship	US
Post Office Address	1017 Savannah Avenue						
Post Office Address							
City	Pittsburgh	State	PA	ZIP	15221	Country	US
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Joe				Gitchell			
Inventor's Signature	<i>X Joe Gitchell</i>					Date	9/21/99
Residence: City	Chevy Chase	State	MD	Country	US	Citizenship	US
Post Office Address	4603 Norwood Drive						
Post Office Address							
City	Chevy Chase	State	MD	ZIP	20815-5348	Country	US

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 3 of 3

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Carlos D.				Malvestutto			
Inventor's Signature	<i>Carlos D. Malvestutto</i>			Date	8/21/99		
Residence: City	Silver Spring	State	MD	Country	US	Citizenship	Canada
Post Office Address	9515 Hale Street						
Post Office Address							
City	Silver Spring	State	MD	ZIP	20910	Country	US
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	

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ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, receipt of which is hereby acknowledged, We, John M. Pinney, 7701 Woodmont Avenue, Apartment 808, Bethesda, Maryland 20814, Jack E. Henningfield, 5504 Roland Avenue, Baltimore, Maryland 21210-1427, Edward J. Cone, 441 Fairtree Drive, Severna Park, Maryland 21146, Saul Shiffman, 1017 Savannah Avenue, Pittsburgh, Pennsylvania 15221, Joe Gitchell, 4603 Norwood Drive, Chevy Chase, Maryland 20815-5348, and Carlos D. Malvestutto, 9515 Hale Street, Silver Spring, Maryland 20910, hereby sell, assign, transfer and set over to JSR LLC, having its principal place of business at 4800 Montgomery Lane, Suite 1000, Bethesda, Maryland 20814-3433, its successors, assigns, nominees, or other legal representatives, the entire right, title and interest in all countries including the priority rights derived from the hereinafter designated application for Letters Patent by virtue of the International Convention for the Protection of Industrial Property for any and all member countries of the aforesaid International Convention, in and to the invention or inventions made by us for a MEDICATED CHEWING GUM DELIVERY SYSTEM FOR NICOTINE and the application for Letters Patent of the United States therefor, executed by us on or about 9/21/99 to 11/2/99 and bearing a filing date of September 2, 1999 and a Serial No. 09/389,339, together with all rights, including the sole rights under the aforesaid International Convention to apply for and obtain Letters Patent for said invention or inventions in the United States and in all other countries of the world, including original, divisional, continuation, continuation-in-part, reissue, utility and design patents, patents of addition, confirmation patents, registration patents, petty patents, utility models, etc., and all other types of patents and the like, and all renewals and extensions of any of them, and the entire right, title and interest in and to all such Letters Patent, the applications therefor and the subject matter of all claims obtained in said Letters Patent, and authorize and request the

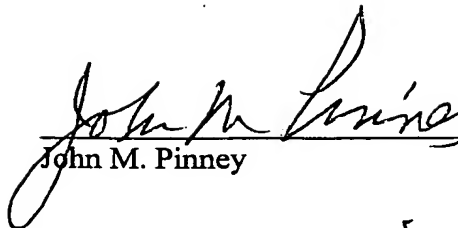
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Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue Letters Patent on applications as aforesaid to issue the said Letters Patent to the said JSR LLC, its successors, assigns, nominees or other legal representatives, as assignee of the entire interest, and covenant that we have full right to convey the entire interest herein assigned and that we have not executed and will not execute any agreement in conflict herewith.

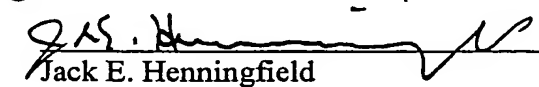
We further covenant and agree that whenever requested by said JSR LLC, its successors, assigns, nominees or legal representatives, we will execute and deliver or cause to be executed and delivered such further patent applications, assignments, oaths, disclaimers and other documents, give or cause to be given such evidence and furnish or cause to be furnished such information within our knowledge or control, and do or cause to be done such other acts and furnish or cause to be furnished such other assistance, as it or any of them may deem necessary or desirable in connection with obtaining and/or maintaining any Letters Patent as aforesaid for said invention or inventions, or in connection with any suit or controversy, including interference proceedings, relating to any of such Letters Patent or to any applications therefor or in order to vest or perfect in it or in any of them or to protect or enforce the right, title and interest herein assigned, or otherwise to effectuate the premises, all without further consideration but at the expense of said JSR LLC, its successors, assigns, nominees or other legal representatives.

We hereby grant to Joseph W. Berenato, III of Liniak, Berenato, Longacre & White, LLC full power to insert herein the execution and filing dates and serial number of the application for Letters Patent of the United States first above mentioned.

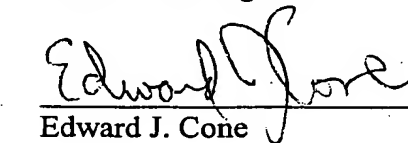
Date: 9/21/99


John M. Pinney


Date: 9/21/99


Jack E. Henningfield


Date: 9/21/99


Edward J. Cone

Date: 9/21/99


Saul Shiffman

Date: 9/21/99


Joe Gitchell

Date: 9/21/99


Carlos D. Malvestutto

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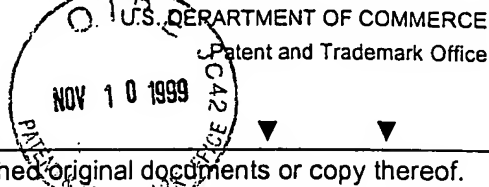
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cket No.: 6296.170

SHEET



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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

John M. Pinney; Joe GITCHELL
Jack E. HENNINGFIELD; Carlos D. MALVESTUTTO
Edward J. CONE
Saul SHIFFMAN

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: JSR LLC

Address: 4800 Montgomery Lane, Suite 1000

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

City: Bethesda

State/Prov.: Maryland

Country: USA

ZIP: 20814-3433

Execution Date: September 21, 1999

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

9/389,339

9/2/99

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Joseph W. Berenato, III

Registration No. 30,546

Address: Liniak, Berenato, Longacre & White

6550 Rock Spring Drive, Suite 240

City: Bethesda

State/Prov.: Maryland

Country: USA

ZIP: 20817

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00 E

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

50-0548

11/15/1999 DNGUYEN 00000005 09389339

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Guillermo E. Baeza Reg 35,056

November 10, 1999

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and

4



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FEBRUARY 11, 2000

PTAS

LINIAK, BERENATO, LONGACRE & WHITE
JOSEPH W. BERENATO, III
6550 ROCK SPRING DRIVE, SUITE 240
BETHESDA, MARYLAND 20817



101200239A

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NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/10/1999

REEL/FRAME: 010370/0292
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PINNEY, JOHN M.

DOC DATE: 09/21/1999

ASSIGNOR:

GITCHELL, JOE

DOC DATE: 09/21/1999

ASSIGNOR:

HENNINGFIELD, JACK E.

DOC DATE: 09/21/1999

ASSIGNOR:

MALVESTUTTO, CARLOS D.

DOC DATE: 09/21/1999

ASSIGNOR:

CONE, EDWARD J.

DOC DATE: 09/21/1999

ASSIGNOR:

SHIFFMAN, SAUL

DOC DATE: 09/21/1999

ASSIGNEE:

JSR LLC

4800 MONTGOMERY LANE, SUITE 1000
BETHESDA, MARYLAND 20814-3433

SERIAL NUMBER: 09389339

PATENT NUMBER:

FILING DATE: 09/02/1999

ISSUE DATE:

STEVEN POST, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS